

UNDP Social and Environmental Screening Template (v. July 2022)

The completed template, which constitutes the Social and Environmental Screening Report, must be included as an annex to the Project Document at the design stage. Note: this template will be converted into an online tool. The online version will guide users through the process and will embed relevant guidance.

Project Information

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1. Project Title	Sustaining peace and social cohesion in Bosnia and Herzegovina through enhanced inter-municipal and inter-entity cooperation on local services (SPSC)
2. Project Number (i.e. Quantum project ID, PIMS+)	00120555
3. Location (Global/Region/Country)	Bosnia and Herzegovina
4. Project stage (Design or Implementation)	Implementation
5. Date	16 December 2022- 15 December 2024

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

The project mainstreams a human rights-based approach by ensuring equal access, participation, and empowerment for all community members, especially focusing on women, youth and vulnerable groups. It emphasizes non-discrimination, inclusive decision-making, and transparent interactions with authorities. The aim is to address the needs and priorities of all community members, to ensure equal access to social services and opportunities for everyone, without discrimination. The project upholds the dignity of all individuals by addressing their social and economic needs, enhancing their overall well-being.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

The project aims to improve gender equality and women's empowerment through conducting a gender-focused rapid assessment, inclusive community dialogues, gender-responsive service delivery, youth engagement, promoting women's role in social services and supporting women's economic opportunities by addressing barriers that limit their access to these opportunities. The efforts seek to enhance accessibility, engagement, and economic empowerment for women, fostering a more equitable and empowered society. 35.72% of the total project budget will be allocated to activities in pursuit of gender equality and women's empowerment. The rapid assessment will provide for insights on the availability, accessibility and quality of social care services with women as primary beneficiaries. Activities supported within and between local communities will address gender aspects and will be designed to promote gender equality. Delivery of priority social care services and public infrastructure for social care services will have a particular focus on women. UNV Community Volunteers engaged by the project are expected to be 50% women. These measures aim to address gender disparities, enhance women's participation and leadership, and create a more inclusive society.

Briefly describe in the space below how the project mainstreams sustainability and resilience

The project mainstreams sustainability and resilience by focusing on long-term impact and adaptability. It involves building resilient social structures and services

that can endure beyond the project's duration. Emphasis is placed on community engagement, capacity building, and empowering local actors to sustain positive changes. Additionally, the project ensures a holistic and sustainable approach for the well-being and stability of the communities involved to secure positive impacts beyond the project lifecycle.

Briefly describe in the space below how the project strengthens accountability to stakeholders

The project strengthens accountability to stakeholders through transparent communication, regular consultations, clear reporting, participatory decisionmaking and empowering local partners. These actions ensure stakeholders are informed, engaged, and have avenues to influence project activities, promoting trust and accountability. Additionally, it promotes open dialogue and ensures that the project's objectives align with the needs and expectations of the communities involved.

Part B. Identifying and Managing Social and Environmental Risks




QUESTION 2: What are the Potential Social and Environmental Risks? <i>Note: Complete SESP Attachment 1 before responding to Question 2.</i>	QUESTION 3: What is the level of significance of the potential social and environmental risks? <i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i>			QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High
Risk Description <i>(broken down by event, cause, impact)</i>	Impact and Likelihood <i>(1-5)</i>	Significance <i>(Low, Moderate Substantial, High)</i>	Comments (optional)	Description of assessment and management measures for risks rated as Moderate, Substantial or High
Risk 1: If the duty-bearers (local authorities and centers for social welfare) face structural challenges in delivering social care services (e.g. lack of financing, supply chain issues, weak infrastructure and human resources, etc.), the delivery of improved or newly established social services could be delayed, which would impact the delivery schedule of the Activity 1.1.3 of the project.	I = 3 L = 3	Moderate		<ul style="list-style-type: none"> - From the outset, the project will clearly communicate its expectations from potential partner local authorities. Further, prioritisation of social care services to be supported will be done together with partner local authorities, taking into consideration financial and human capacities. When working on improving or introducing social care services, the project will also explore opportunities for outsourcing to qualified civil society organisations and / or private entities. - MoU / LoA will be signed with each municipality, clearly stating expectations from all sides, including accession of the approach by the municipality, once proven effective and efficient for provision of relevant interventions in their communities.
Risk 2: If the duty -bearers (local authorities) are unable to issue construction permits prior to the beginning of construction works or rehabilitation works, the implementation of the contracts for the works could be	I = 3 L = 3	Moderate		<ul style="list-style-type: none"> - The project will operate entirely in accordance with relevant legislation related to the construction works. Partner local government will take full responsibility for provision of all necessary approvals and permits prior to commencement of the works, which will be formally confirmed through MoU/LoA in the selection of priority

<p>delayed, which would impact the delivery schedule of the Activity 1.1.3 of the project.</p>				<p>large -scale interventions. - As an insurance, certain amount of the in -kind or cash co -funding will be provided by relevant local governments prior to launching the implementation.</p>
<p>Risk 3: (S7.1 labour & working conditions) If the responsible parties and project contractors may not respect relevant national labour laws and international commitments (e.g., relevant ILO conventions, relevant international human rights agreements, etc.) related to labour and working conditions, it may potentially impact the legal aspect of the project implementation and delivery schedule of the Activity 1.1.3 of the project.</p>	<p>I = 2 L = 2</p>	<p>Low</p>		<p>The project responsible party shall sign the letter of agreement stipulating the relevant working and labor requirements, prior the project funds are allocated for the relevant project activity: building construction, renovation, infrastructure construction and operation, etc. The responsible parties shall comply with best practice for protecting workers’ rights and non-discrimination based on the international standards and national labor laws. They shall: (a) Provide project workers with clear and understandable information on terms and conditions of their employment, such as payment on a regular basis in a timely manner, written notice of termination and payment of all wages and benefits on termination, etc. (b) Ensure decisions regarding employment are not made on basis of personal characteristics unrelated to inherent job requirements (e.g. gender, race, nationality, political opinion, affiliation to a union, ethnic, social or indigenous origin, religion or belief, marital or family status, disability, age, sexual orientation or gender identity) and that women and men are provided with equal remuneration for equal work performed. (c) Ensure that workers engaged have appropriate health and insurance. (d) Ensure that the safety procedures at the construction sites are duly incorporated in the design documentation and diligently implemented by the respective construction contractors; (e) Set out measures to prevent and address violence, harassment, intimidation, or exploitation, including any form of gender-based violence</p>
<p>Risk 4: (S3.1 infrastructure development risks) If the construction activity might have the following infrastructure development impacts: I. Damage the vegetation on the construction site and its surrounding</p>	<p>I = 2 L = 2</p>	<p>Low</p>		<p>The project shall ensure that the supported infrastructure is designed, constructed, operated and decommissioned in accordance with national regulatory requirements and standards and best practices followed by international organizations working in the region. Within this process, all construction activities supported by the project shall: § have design-, management- and</p>

<p>II. Impact in the vicinity of projects site due to construction equipment movement and transportation of construction materials</p> <p>III. Noise and vibrations that may create nuisance for local residents and commercial establishments.</p> <p>IV. Generation of construction waste, it may require implementation of special measures and impact the project budget and delivery schedule of the Activity 1.1.3 of the project.</p>				<p>(where relevant) decommissioning plans that are prepared by qualified professionals who are certified for such design process, and cleared by the competent authorities, § be constructed and operated by the experienced contractor(s) having relevant certifications and permits for the relevant works, § develop and implement Construction Site Organisation Plan that adheres to good construction practices and stipulates practical measures to manage the relevant health and safety risks for the project staff and third parties or affected communities –, and § be before the beginning of their actual use/operation approved (and where needed certified) by either competent authorities or independent professionals not involved in their design and construction</p>
<p>Risk 5: (S8.2 waste generation or management) If the Project involves construction it may potentially involve or lead to the generation of waste (both hazardous and non-hazardous), which may require implementation of special measures and impact the project budget and delivery schedule of the Activity 1.1.3 of the project.</p>	<p>I = 2 L = 2</p>	<p>Low</p>		<p>The project shall:</p> <ul style="list-style-type: none"> - Identify the specific types and quantities of waste likely to arise during the construction process, including excavated materials, construction, demolition, and excavation waste. - Ensure that all construction waste streams are collected separately and disposed of appropriately in accordance with the applicable regulatory requirements and best practices used by international organizations working in the region. This may involve the following arrangements: <ul style="list-style-type: none"> o Excavated material generated will be, where possible, reused as engineering fill material or in the environmental mitigation earthworks of the project. o The construction and demolition waste will be separated and reused whenever possible. o Inert construction waste will be separated and recycled or provided for material recovery, where possible.
	<p>QUESTION 4: What is the overall project risk categorization?</p>			
		<p><i>Low Risk</i></p>	<input type="checkbox"/>	
		<p><i>Moderate Risk</i></p>	<input checked="" type="checkbox"/>	<p>The project is assessed as a moderate-risk category</p>
		<p><i>Substantial Risk</i></p>	<input type="checkbox"/>	
		<p><i>High Risk</i></p>	<input type="checkbox"/>	

QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)			
Question only required for Moderate, Substantial and High Risk projects			
<i>Is assessment required? (check if "yes")</i>	<input type="checkbox"/>		<i>Status? (completed, planned)</i>
<i>if yes, indicate overall type and status</i>		<input type="checkbox"/> Targeted assessment(s)	
		<input type="checkbox"/> ESIA (Environmental and Social Impact Assessment)	
		<input type="checkbox"/> SESA (Strategic Environmental and Social Assessment)	
<i>Are management plans required? (check if "yes")</i>	<input type="checkbox"/>		
<i>If yes, indicate overall type</i>		<input type="checkbox"/> Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)	
		<input type="checkbox"/> ESMP (Environmental and Social Management Plan which may include range of targeted plans)	
		<input type="checkbox"/> ESMF (Environmental and Social Management Framework)	
<i>Based on identified risks, which Principles/Project-level Standards triggered?</i>		Comments (not required)	
<i>Overarching Principle: Leave No One Behind</i>			
<i>Human Rights</i>	<input type="checkbox"/>	N/A	
<i>Gender Equality and Women's Empowerment</i>	<input type="checkbox"/>	N/A	
<i>Accountability</i>	<input type="checkbox"/>	N/A	
<i>1. Biodiversity Conservation and Sustainable Natural Resource Management</i>	<input type="checkbox"/>	N/A	
<i>2. Climate Change and Disaster Risks</i>	<input type="checkbox"/>	N/A	
<i>3. Community Health, Safety and Security</i>	<input type="checkbox"/>	N/A	
<i>4. Cultural Heritage</i>	<input type="checkbox"/>	N/A	
<i>5. Displacement and Resettlement</i>	<input type="checkbox"/>	N/A	
<i>6. Indigenous Peoples</i>	<input type="checkbox"/>	N/A	
<i>7. Labour and Working Conditions</i>	<input type="checkbox"/>	N/A	
<i>8. Pollution Prevention and Resource Efficiency</i>	<input type="checkbox"/>	N/A	

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
QA Assessor DocuSigned by: 		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted.
QA Approver 3E1739AD759A40C... DocuSigned by: 		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC.
PAC Chair BC433EE463BD457... DocuSigned by: 		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

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SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks		
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.		
Overarching Principle: Leave No One Behind		Answer (Yes/No)
Human Rights		
P.1	Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2	Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	Yes
P.3	Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No
<i>Would the project potentially involve or lead to:</i>		
P.4	adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5	inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹⁶	No
P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	No
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No

¹⁶ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability, sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below		
Accountability		
<i>Would the project potentially involve or lead to:</i>		
P.13	exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14	grievances or objections from potentially affected stakeholders?	No
P.15	risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards		
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management		
<i>Would the project potentially involve or lead to:</i>		
1.1	adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2	activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3	changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No
1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms? ¹⁷	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ¹⁸	No
1.14	adverse transboundary or global environmental concerns?	No
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		

¹⁷ See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

¹⁸ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

2.1	areas subject to hazards such as earthquakes, floods, landslides, severewinds, storm surges, tsunami or volcanic eruptions?	Yes
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters? <i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	No
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	Yes
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	Yes
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No
3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No

5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	
5.3	risk of forced evictions? ¹⁹	No
5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	No
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is “yes”, then Standard 6 requirements apply, and the potential significance of risks related to impacts on indigenous peoples must be Moderate or above. *</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No
6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	No
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	No

¹⁹ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions constitute gross violations of a range of internationally recognized human rights.

* Note: revised July 2022 modifying presumption of risk significance from Substantial or higher to Moderate or higher.

Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2	the generation of waste (both hazardous and non-hazardous)?	No
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No